

## Important Notice for Recipients of Doctorate Degree from the University of Osaka

- (1) Individuals who receive a doctorate degree from the University of Osaka (hereinafter referred to as the 'Degree Recipient') must publish the full text of their doctoral thesis on the internet (hereinafter referred to as 'Internet Publication') within one year of the degree being issued. (\*1)

The University of Osaka will make these theses available to the public via the University's institutional repository, the University of Osaka Institutional Knowledge Archive (OUKA). (\*2)

Prior to the full text publication of the doctoral thesis, the Degree Recipient must confirm his or her right to publish the text, including any external publisher's copyright agreement.

(\*1)

[The University of Osaka Degree Regulations Article 20-1]

Individuals in receipt of a doctorate degree must publish the full text of their doctoral thesis within one year of the degree being issued. However, this does not apply to those who have already published their thesis.

(Note: 'Have already published their thesis' is here referred to publishing on the institutional repository of the University of Osaka.)

[The University of Osaka Degree Regulations Article 20-3]

In accordance with the regulations in Paragraphs 1 and 2, individuals in receipt of a doctorate degree from the University of Osaka must publish their thesis online via the University's institutional repository, the University of Osaka Institutional Knowledge Archive (OUKA).

(\*2)

[The University's institutional repository]

The University's institutional repository is a digital archive of intellectual products, such as theses, created by education and research activities at university. The University's institutional repository makes these products available to the public, free of charge, via the internet.

[The University of Osaka Institutional Knowledge Archive (OUKA)]

OUKA is the institutional repository of the University of Osaka. It is an electronic archive system that is used to store and make available theses created by members of the University of Osaka during the course of their education and research activities. Through 'open access' to scholarly literature, permanent free availability on the public internet is achieved, permitting any user to read the university's academic achievements free of charge. A variety of academic achievements are registered, such as theses for academic journals, doctoral theses, departmental bulletin papers, and presentation materials for meetings, and full texts of these documents are available.

(URL: <https://ir.library.osaka-u.ac.jp/repo/ouka/all/>)

However, if, for unavoidable reasons (\*3), the full text of one's doctoral thesis cannot be published, a summary of the thesis may be published on the internet instead of the full text, after obtaining permission from the graduate school responsible for the thesis.

If and when the reason for not being able to publish the full text ceases to apply, the full text of the entire thesis must then be published on the internet after obtaining permission from the graduate school responsible for the thesis.

During the publication of the thesis summary, the entire thesis shall be made available by the graduate school responsible for the thesis for inspection upon request. (\*4)

(\*3)

[Examples of 'unavoidable reasons']

1. In cases where doctoral thesis cannot be published on the internet due to reasons of expression, such as physical limitations of the material content from which the thesis has been made.
  2. In cases where doctoral thesis cannot be published on the internet due to reasons of copyright protection and/or private information for more than a year after the date of the degree being issued.
  3. In cases where publication of the doctoral thesis on the internet will have negative consequences for the Degree Recipient(s) more than one year after the date of the degree being issued because of the academic journal publishers prohibiting publication of the thesis in books, or multiple publications of the thesis, or where patent application(s) are being made.
- Etc.

(\*4)

[The University of Osaka Degree Regulations Article 20-2]

Notwithstanding the conditions outlined in the preceding paragraph, individuals in receipt of a doctorate degree may, if they have valid reason(s), and after obtaining permission from a faculty council at the graduate school in charge of the thesis, publish a summary of the content of the thesis for which the degree was awarded in place of the entire thesis. In this case, the faculty council at the graduate school in charge will provide the thesis for inspection upon request.

- (2) In cases where the entire thesis cannot be published via the internet, the suitability for publication of the thesis summary shall be determined by the thesis examination committee at the graduate school responsible for the thesis.

Degree Recipients should complete the following procedures:

1. Confirm, in advance, his or her right to publish the doctoral thesis.
2. Submit Form 10: 'Doctoral Thesis Internet Publication Confirmation(University's Institutional Repository)' to the graduate school responsible for the thesis. If necessary, submit Form 11: 'Updates on the reasons of Doctoral Thesis's Internet Publication Suspension (University's Institutional Repository)'
3. If and when the Degree Recipient's doctoral thesis is published in book and/or in an academic journal(s), he or she must confirm the publisher's copyright policies and policies regarding the publication of a doctoral thesis on the internet. If it is not possible to confirm these policies with the external publisher, the University of Osaka shall investigate them. In that case, submit this as a reason on Form 11: 'Updates on the reasons of Doctoral Thesis's Internet Publication Suspension (University's Institutional Repository)'
4. In the case that procedures for publishing the doctoral thesis in a book or academic journal(s) are underway or being planned, or in the case of patent pending or planned patent application (excluding the cases in which patent contents are disclosed), Degree Recipients must, after the publication of the doctoral thesis or after the disclosure of the patent content, immediately submit Form 11: 'Updates on the reasons of Doctoral Thesis's Internet Publication Suspension (University's Institutional Repository)' to the graduate school responsible for the thesis. In these cases, Degree Recipients must confirm the publisher's copyright policies.
5. The graduate school responsible for the thesis shall determine whether or not the publication of a summary of the thesis is acceptable.

Forms 10 and 11 may be downloaded from the 'Points to Remember for Doctorate Degree Recipients of the University of Osaka' section of the website of the University of Osaka:  
(<https://www.osaka-u.ac.jp/ja/education/gakui/ryui>)

Form 11: 'Updates on the reasons of Doctoral Thesis's Internet Publication Suspension (University's Institutional Repository)' is to be submitted to the following address:

Graduate School Section, Graduate School of XXXXX, the University of Osaka

\*-\*-\* XXXXX, XXXXX city, Osaka \*-\*-\*-\*-\*

Tel: \*-\*-\*-\*-\*-\*-\*-\* Fax: \*-\*-\*-\*-\*-\*-\*

Email: \*-\*-\*-\*-\*-\*-\* @office.osaka-u.ac.jp

- (3) Once submitted to the University of Osaka, Form 3: 'Abstract of Thesis' and the full text of the doctoral thesis will be made available to the public as follows.

○Form 3: "Abstract of Thesis"

1. The data shall be published on the internet within three months of the date of the degree being issued.

○Doctoral thesis

1. If the full text of the Degree Recipient's doctoral thesis is available to the public via the internet, the data shall be published on the University's institutional repository within one year of the date of degree being issued.
2. If the full text of the Degree Recipient's doctoral thesis cannot be published via the internet, the data shall be made available for inspection at the University of Osaka Libraries after one year of the date of the degree being issued.

3. Once the doctoral thesis has been made accessible at the University of Osaka Libraries, or made available to the public via the University's institutional repository, the data shall be sent to the National Diet Library of Japan, whereupon it shall be made available for inspection.

(4) When making (formal) reference to the degree received, the Degree Recipient shall include 'The University of Osaka' as part of the name.  
[The University of Osaka Degree Regulations Article 21]

(5) In the case that a diploma is accidentally lost or damaged, the diploma shall not be reissued. A Certificate of Degree Conferral may be issued upon submission of a 'Request Form for Issuance of a Certificate of Degree Conferral' to the university's President, including a statement of the reason(s) for loss or damage of the diploma.

[Note] If the request is made by postal mail, the following must be included:

1. Completed 'Request Form for Issuance of a Certificate of Degree Conferral'
2. A copy of your driver's license or other ID
3. A self-addressed and stamped envelope (120mm x 235mm) for reply

Along with the three items listed above, the applicant should write 'Request Form for Issuance of a Certificate of Degree Conferral' in red ink on the top of the envelope. Forms should be sent to the address below.

The 'Request Form for Issuance of a Certificate of Degree Conferral' may be downloaded from the 'Points to Remember for Doctorate Degree Recipients of the University of Osaka' section of the website of the University of Osaka.  
(<https://www.osaka-u.ac.jp/ja/education/gakui/ryui>)

Enquiries concerning the 'Certificate of Degree Conferral' should be addressed to:

Education Planning Division, Department of Education and Student Affairs, the University of Osaka

1-1 Yamadaoka, Suita-city, Osaka 565-0871  
TEL: 06-6879-7107